

COPY

## DECLARATION FOR PATENT APPLICATION

Docket No.68896

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: BALL-AND-SOCKET JOINT

the specification of which

(Check one) ☐ is attached hereto.

☒ was filed as PCT international application

Number PCT/DE00/00669

on March 7, 2000

and was amended under PCT Article 19

on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 (a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate or 365 (a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date or any PCT international application(s) designating at least one country other than the United States of America by me on the same subject matter having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

DE 199 11 770.5  
(Number)

Germany  
(Country)

17/March/1999  
(Day/Month/Year filed)

Priority Claimed

YES

I hereby claim the benefit under Title 35, United States Code, 120 of any United States

application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No)

(Filing Date)

(Patented, Pending, Abandoned)

(Application Serial No)

(Filing Date)

(Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: **John J. McGlew, Reg. 17,722; and/or John James McGlew, Reg. 31,903; and/or Hilda S. McGlew Reg. 30,295; and/or Theobald Dengler, Reg. 34,575; and/or Clario Ceccon, Reg. 19,268; and/or Kristina M. Grasso Reg. 39,205.**

Address all calls to: John James McGlew at telephone no. (914) 941-5600

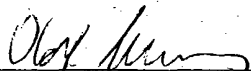
Address all correspondence to:

**McGLEW AND TUTTLE, P.C.  
SCARBOROUGH STATION  
SCARBOROUGH, NEW YORK 10510-0827**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Olaf ABELS

→Inventor's signature



→Date

26.11.00

Residence Am Appelhügel 28, 49191 Belm, Germany Citizenship Germany

Post Office Address Am Appelhügel 28, 49191 Belm, Germany

Full name of second inventor Klaus BRÖKER

→Inventor's signature

Klaus Bröker

→Date

24.11.00

Residence Lerchenstrasse 7, 49163 Bohmte-Hunteburg, Germany Citizenship Germany

Post Office Address Lerchenstrasse 7, 49163 Bohmte-Hunteburg, Germany

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UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

APRIL 03, 2001

PTAS



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MCGLEW & TUTTLE, PC.  
JOHN JAMES MCGLEW  
SCARBOROUGH STATION  
SCARBOROUGH, NY 10510-0827

68896

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/08/2001

REEL/FRAME: 011444/0945  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
ABELS, OLAF

DOC DATE: 11/24/2000

ASSIGNOR:  
BROKER, KLAUS

DOC DATE: 11/24/2000

ASSIGNEE:  
ZF LEMFORDER METALLWAREN AG  
DR. -JURGEN-ULDERUP-STRASSE  
7, 32351 STEMWEDE-DIELINGEN  
FED  
REP GERMANY

SERIAL NUMBER: 09700598  
PATENT NUMBER:

FILING DATE: 01/08/2001  
ISSUE DATE:



TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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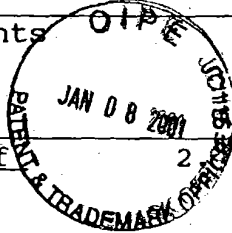


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1-8-01  
Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

RE: Our File: 68896



1. Name (and address) of  
conveying party(ies)

2

Name and Address of  
receiving party(ies)

Olaf ABELS  
Am Appelhügel 28, 49191 Belm,  
Germany  
Execution Date: Nov. 24, 2000

ZF Lemförder Metallwaren AG  
Dr.-Jürgen-Ulderup-Strasse  
7, 32351 Stemwede-Dielingen,  
GERMANY

Klaus BRÖKER  
Lerchenstrasse 7, 49163  
Bohmte-Hunteburg, Germany  
Execution Date: Nov. 24, 2000

3. Submission Type:

- (X) New  
( ) Resubmission Document ID# \_\_\_\_  
(Non-Recordation)  
( ) Correction of PTO Error Reel/Frame: \_\_\_\_  
( ) Corrective Document Reel/Frame: \_\_\_\_

4. Nature of conveyance:

- (X) Assignment; ( ) Merger  
( ) Security Agreement; ( ) Change of Name  
( ) Other;

01/16/2001 MNGUYEN 00000071 09700598  
OF FC:581

Execution Date: November 24, 2000

40.00 OP

5. Application number(s) or patent number(s):

If this document is being filed together with a new  
application, the execution date of the application is: \_\_\_\_

A. Patent Application No.(s) B. Patent No.(s)  
09/700,598

Additional numbers attached ( ) Yes (X) No

6. Name and address of party to whom correspondence  
concerning document should be mailed:

McGlew & Tuttle, P.C.  
Scarborough Station

McGlew & Tuttle, P.C.  
Scarborough Station  
Scarborough, New York 10510-0827

7. Total number of applications and patents involved: 1

8. Total fee (37 CFR 3.41) \$ 40.00

(X) Enclosed

( ) Authorized to be charged to deposit account

( ) Already submitted

9. Deposit account number:

13-0410

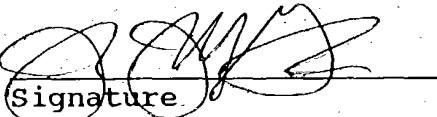
(Attach duplicate copy of this page if paying by deposit account)

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10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John James McGlew  
Name of person signing

Reg. No. 31,903

  
Signature

January 8, 2001  
Date

Total number of pages comprising cover sheet, attachments, and documents 5

JJM:ehl

Enclosures: - Assignment Document  
- Check for recording fee of \$40.00

SCARBOROUGH STATION  
SCARBOROUGH, NEW YORK 10510  
DATED: January 8, 2001

NOTE: IF THERE IS ANY FEE DUE AT THIS TIME, PLEASE CHARGE IT TO OUR DEPOSIT ACCOUNT NO. 13-0410 AND ADVISE.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL, REGISTRATION NO. EL450910518 IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON January 8, 2001

McGLEW AND TUTTLE, P.C., SCARBOROUGH STATION,  
SCARBOROUGH, NEW YORK 10510-0827

By:   
68896.8

Date: January 8, 2001

## ASSIGNMENT OF U.S. PATENT APPLICATION

WHEREAS, Olaf ABELS, Klaus BRÖKER residing respectively at: Am Appelhügel 28, 49191 Belm, Germany, Lerchenstrasse 7, 49163 Bohmte-Hunteburg, Germany have invented certain new and useful improvements in an invention entitled: *BALL-AND-SOCKET JOINT*

AND WHEREAS, ZF Lemförder Metallwaren AG with a principal place of business at: Dr.-Jürgen-Ulderup-Strasse 7, 32351 Stemwede-Dielingen, GERMANY, (hereinafter referred to as Assignee) is desirous of acquiring an interest therein-and-in the Letters Patent to be obtained therefor from the United States.

NOW THEREFORE, be it known by all whom it may concern, that for and in consideration of the sum of One Dollar (\$1.00) (or the equivalent thereof in foreign currency) and other valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and set over and by these presents assign, sell and set over unto said Assignee for the territory of the United States of America and not elsewhere, the full and exclusive right, title and interest in and to the said invention, as fully set forth and described in the specification prepared and executed by us on \_\_\_\_\_, filed \_\_\_\_\_, Ser. No. \_\_\_\_\_, preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be held and enjoyed by the said Assignee for its own use and behoof and for the use and behoof of its successors and assigns to the full end of the term for which said Letters Patent is granted, as fully and entirely as the same would have been held by us had this Assignment and sale not been made.

IN WITNESS WHEREOF, we have hereunto set our hands this 24 day of November, 2000.

Olaf Abels 24.11.00  
Olaf ABELS Date

Klaus Bröker 24.11.00  
Klaus BRÖKER Date

In the presence of: Jörg Boymeyer Vera Schleicher

Witness: Jörg Boymeyer

Witness: Vera Schleicher

McGLEW & TUTTLE, P.C., Scarborough Station, Scarborough N.Y. 10510-0827 U.S.A.